

CITY COUNCIL AGENDA ITEM COVER MEMO

Agenda Item Number: \_\_\_\_\_

Meeting Type: Regular

Meeting Date: Jul 10, 2014

Action Requested By: Natural Resources

Agenda Type: Resolution

Subject Matter:

EPA Section 105 Air Program Grant

Exact Wording for the Agenda:

Resolution authorizing the Mayor to apply for and execute an FY 2015 and FY 2016 EPA Air Program Grant in an amount up to \$300,000 in federal funding to support the City's Air Pollution Control Program.

**Note: If amendment, Please state title and number of the original**

Item to be considered for: Action

Unanimous Consent Required: No

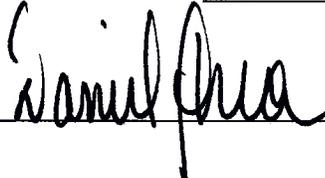
Briefly state why the action is required; why it is recommended; what council action will provide, allow and accomplish and; any other information that might be helpful.

Authorizes application and acceptance of a continuing environmental program assistance grant to support the City's Air Pollution Control Program. Funding would be provided under Section 105 of the Clean Air Act.

Associated Cost: \_\_\_\_\_

Budgeted Item: \_\_\_\_\_

MAYOR RECOMMENDS OR CONCURS: Yes

Department Head: 

Date: Jun 27, 2014

**CITY OF HUNTSVILLE  
ROUTING SLIP FOR  
CONTRACTS AND AGREEMENTS**

Originating Department Natural Resources  
 Contract/ Agreement Air Program Grant  
 Contract/Agreement Amount \$500,000.00  
 Account Number 01-5259-3098-2104

DEPARTMENT	SIGNATURE	DATE
1) Originating	<i>[Signature]</i>	6/26/14
2) Finance	<i>[Signature]</i>	7/2/14
3) Legal	<i>Mary C. Cates</i>	7/2/14
4) Originating:		
5) Copy Distribution		
a. Mayor's Office (9)		
b. Clerk-Treasurer (original +2)		
c. Legal (1)		
d. Procurement (1)		
6) Council Action		
7) Clerk-Treasurer		
a. Mayor's Signature		
b. Council President's Signature		
8) Originating ③		
9) Clerk-Treasurer		

- ① Prepare Revised Draft
- ② Discussed at Department Head Meeting
- ③ Acquire Needed Signatures

Note: Processing is facilitated if outside signatures are obtained before council action

**RESOLUTION NO. \_\_\_\_\_**

**BE IT RESOLVED** by the City Council of the City of Huntsville, Alabama, that the Mayor is hereby authorized, requested, and directed, on behalf of the City of Huntsville, Alabama, to apply for a U. S. Environmental Protection Agency FY 2015 and 2016 Air Programs Grant in an amount up to \$300,000.00 said grant application being attached hereto and identified as "Application for Federal Assistance between the City of Huntsville and U.S. Environmental Protection Agency," consisting of 14 pages and the first page, together with the signature of the City Council President, with an executed copy of said document being permanently kept on file in the office of the City Clerk-Treasurer of the City of Huntsville.

**BE IT FURTHER RESOLVED** that the City Council of the City of Huntsville shall commit an amount sufficient to meet the 40% matching fund requirement for the Grant which may be awarded to the City pursuant to such application, and not less than \$200,000.00, the amount of the City's maintenance of effort requirement, said funds to be identified in the City's fiscal year 2015 and 2016 budget for such use.

**BE IT FURTHER RESOLVED** that in the event that such grant is awarded, the Mayor of the City of Huntsville, Alabama, is hereby authorized, requested, and directed, on behalf of the City of Huntsville, Alabama, to enter into such grant agreement with the Environmental Protection Agency and to submit such supporting and collateral materials as required.

**ADOPTED** this the 10<sup>th</sup> day of July, 2014

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President of the City Council of the  
City of Huntsville, Alabama

**APPROVED** this the 10<sup>th</sup> day of July, 2014

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Mayor of the City of Huntsville, Alabama

# APPLICATION FOR FEDERAL ASSISTANCE

<b>1. TYPE OF SUBMISSION</b>		<b>2. DATE SUBMITTED</b>	Applicant Identifier
Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction	Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	<b>3. DATE RECEIVED BY STATE</b>	State Application Identifier
		<b>4. DATE RECEIVED BY FEDERAL AGENCY</b>	Federal Identifier

## 5. APPLICANT INFORMATION

Legal Name <b>City of Huntsville</b>	Organizational Unit: <b>Division of Natural Resources</b>
Address (give city, county, state, and zip code): <b>320 Fountain Circle P. O. Box 308 Huntsville, AL 35804-0308</b>	Name and telephone number of the person to be contacted on matters involving this application (give area code) <b>D. E. Shea (256) 427-5750</b>

<b>6. EMPLOYER IDENTIFICATION (EIN):</b> <b>63-6001296 DUNS 072093727</b>	<b>7. TYPE OF APPLICANT:</b> (enter appropriate letter here) <u>C</u> A. State H. Independent School District B. County I. State Controlled Institution of Higher Learning C. Municipal J. Private University D. Township K. Indian Tribe E. Interstate L. Individual F. Intermunicipal M. Profit Organization G. Special District N. Other (Specify):
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<b>8. TYPE OF APPLICATION:</b> <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision  If Revision, enter appropriate letter(s) in box(es): A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other Specify:	<b>NAME OF FEDERAL AGENCY:</b> <b>U. S. EPA , Region IV Atlanta Federal Center 61 Forsyth St., Atlanta, GA 30303-8960</b>
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<b>CATALOG OF FEDERAL DOMESTIC ASSISTANCE</b> <b>66-001 Air Pollution Control Program Support</b>	<b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:</b> <b>Reference Work Plan</b>
<b>12. AREAS AFFECTED BY PROJECT (cities, counties, states, etc.):</b> <b>Huntsville, AL</b>	

<b>13. PROPOSED PROJECT:</b>	<b>14. CONGRESSIONAL DISTRICT OF:</b>
Start Date: <b>10-01-2014</b> End Date: <b>09-30-2016</b>	a. Applicant: <b>5<sup>th</sup></b> Project: <b>5<sup>th</sup></b>

<b>15. Estimated Funding:</b>	<b>1. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b>
a. Federal \$ 300,000.00	a. YES. THIS PREAPPLICATION /APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESSES FOR REVIEW ON: DATE
b. Applicant \$ 200,000.00	b. NO. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
c. State \$	<b>17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?</b>
d. Local \$	<input type="checkbox"/> YES If "Yes" attach an explanation. <input checked="" type="checkbox"/> NO
e. Other \$	
f. Program Income \$	
g. TOTAL \$ 500,000.00	

**18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.**

a. Typed Name of Authorized Representative: <b>Tommy Battle</b>	b. Title: <b>Mayor</b>	c. Telephone Number: <b>(256) 427-5000</b>
d. Signature of Authorized Representative	e. Date Signed: <b>July 10, 2014</b>	

**BUDGET INFORMATION - Non-Construction Programs**

OMB Approval No. 0348-0044

**SECTION A - BUDGET SUMMARY**

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		Total (g)
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	
1. Air Pollution Program Support	66-001	\$	\$	\$ 300,000.00	\$ 200,000.00	\$ 500,000.00
2						
3.						
4.						
5. TOTALS				\$ 300,000.00	\$ 200,000.00	\$ 500,000.00

**SECTION B - BUDGET CATEGORIES**

6. OBJECT CLASS CATEGORIES	GRANT PROGRAM FUNCTION OR ACTIVITY		(3)	(4)	Total (5)
a. Personnel	\$ 367,108.00		\$	\$	\$ 367,108.00
b. Fringe Benefits	\$132,892.00				\$ 132,892.00
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual					
g. Construction					
h. Other(EPA National Contract)					
i. Total Direct Charges (sum of 6a - 6h)	\$ 500,000.00				\$ 500,000.00
j. Indirect Charges					
k. TOTALS (sum of 6i and 6j)	\$500,000.00				\$ 500,000.00
7. Program Income	\$	\$	\$	\$	

**SECTION C - NON-FEDERAL RESOURCES**

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. Air Pollution Program	\$ 200,000.00	\$	\$	\$200,000.00
9.				
10.				
11.				
12. TOTAL (sum of lines 8 and 11)	\$ 200,000.00	\$	\$	\$200,000.00

**SECTION D - FORECASTED CASH NEEDS**

13. Federal	(Total for 1st Year)	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
	\$	\$	\$	\$	\$
14. Non-Federal					
15. TOTAL (sum of lines 13 and 14)	\$	\$	\$	\$	\$

**SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT**

(a) Grant Program	FUTURE FUNDING PERIODS (Years)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16.	\$	\$	\$	\$	
17					
18.					
19.					
20. TOTALS (sum of lines 16 - 19)	\$	\$	\$	\$	\$

**SECTION F - OTHER BUDGET INFORMATION**

21. Direct Charges:	22. Indirect Charges:
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23. Remarks:

**ASSURANCES - NON-CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**Note:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of the project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§ 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 795), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age;
- (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provision of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 276a-7), the Copeland Act (40 U.S.C. §§ 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333), regarding labor standards for federally assisted construction subagreements.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplain in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.)
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

<p>SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</p> <p>Tommy Battle</p>	<p>TITLE</p> <p>Mayor</p>
<p>APPLICANT ORGANIZATION</p> <p>City of Huntsville, Alabama</p>	<p>DATE SUBMITTED</p> <p>July 10, 2014</p>

# OBJECT CLASS CATEGORIES WORKSHEET      FY 2015

[NOTE: Please indicate any pre-award costs with a star (\*).]

**a. PERSONNEL**

POSITION	NUMBER	SALARY	WORK YEARS	AMOUNT
Director	1	114,670	.20	22,900
Deputy Director	1	78,353	.50	39,154
Senior Environmental Specialist	1	53,289	.60	31,950
Environmental Specialist III	1	64,688	.60	38,800
Environmental Specialist II	1	48,568	.60	29,150
Environmental Technician	1	53,976	.25	13,400
Secretary	1	41,184	.20	8,200
<b>a. PERSONNEL TOTAL</b>	<b>7</b>	<b>454,728</b>	<b>2.95</b>	<b>183,554</b>

**b. FRINGE BENEFITS**

BASE	183,554
RATE	x .362
<b>b. FRINGE BENEFITS TOTAL</b>	<b>66,446</b>

**c. TRAVEL**

<p>Explain:</p> <hr/> <hr/> <hr/> <hr/>
<p><b>c. TRAVEL TOTAL:</b></p>

# OBJECT CLASS CATEGORIES WORKSHEET    FY 2016

[NOTE: Please indicate any pre-award costs with a star (\*).]

**a. PERSONNEL**

POSITION	NUMBER	SALARY	WORK YEARS	AMOUNT
Director	1	114,670	.20	22,900
Deputy Director	1	78,353	.50	39,154
Senior Environmental Specialist	1	53,289	.60	31,950
Environmental Specialist III	1	64,688	.60	38,800
Environmental Specialist II	1	48,568	.60	29,150
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Secretary	1	41,184	.20	8,200
<b>a. PERSONNEL TOTAL</b>	<b>7</b>	<b>454,728</b>	<b>2.95</b>	<b>183,554</b>

**b. FRINGE BENEFITS**

BASE	183,554
RATE	x .362
<b>b. FRINGE BENEFITS TOTAL</b>	<b>66,446</b>

**c. TRAVEL**

<p>Explain:</p> <hr/> <hr/> <hr/> <hr/>
<b>c. TRAVEL TOTAL:</b>

## OBJECT CLASS CATEGORIES WORKSHEET

**d. EQUIPMENT:** Tangible, non-expendable, personal property having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit. Applicant's definition of equipment may be used provided the definition at least includes all items previously defined above.

ITEM	NUMBER	COST PER UNIT	TOTAL
<b>d. EQUIPMENT TOTAL:</b>			

**e. SUPPLIES**

<b>List supplies by groups, as appropriate:</b>	
<b>e. SUPPLIES</b>	



## **KEY CONTACTS**

### **AGENCY/ORGANIZATION DIRECTOR**

**(Individual who is authorized to sign the assistance agreement application and award acceptance.)**

**NAME:** Tommy Battle  
**TITLE:** Mayor – City of Huntsville  
**ADDRESS:** P. O. Box 308  
Huntsville, AL 35804  
**TELEPHONE:** (256) 427-5000

### **PROGRAM/PROJECT DIRECTOR**

**(Technical program director or person responsible for the project as a contact person in Block #5 of the application.)**

**NAME:** Daniel E. Shea  
**TITLE:** Director – Division of Natural Resources  
**ADDRESS:** P. O. Box 308  
Huntsville, AL 35804  
**TELEPHONE:** (256) 427-5750

### **FINANCE DIRECTOR**

**(Individual responsible for maintaining the accounting and financial management system supporting expenditures, preparing the financial reports, etc.)**

**NAME:** Randy Taylor  
**TITLE:** Finance Director  
**ADDRESS:** P. O. Box 308  
Huntsville, AL 35804  
**TELEPHONE:** (256) 427-5063

## QUALITY ASSURANCE PLAN

This program/project does not involve environmentally related measurements or data generation; therefore, a Quality Assurance Plan is not required pursuant to the above referenced assistance regulations.

X This program/project involves environmentally related measurements or data generation; therefore a Quality Assurance Plan which meets the requirements of:

40 CFR 30.54 for Universities and Non-Profit Organizations is attached or will be developed before field work begins; or,

X 40 CFR 31.45 for State and Local Governments is attached or will be developed before field work begins.

\*Approved Q. A. plan in place. Updates will be submitted as required.

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**Authorized Representative**

July 10, 2014  
**Date**

EPA Project Control Number

## **CERTIFICATION REGARDING LOBBYING**

### **CERTIFICATION FOR CONTRACTS, GRANTS, LOANS AND COOPERATIVE AGREEMENTS**

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including sub-contracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31 U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**Tommy Battle - Mayor**

\_\_\_\_\_  
Typed Name & Title of Authorized Representative

\_\_\_\_\_  
Signature and Date of Authorized Representative

**Preaward Compliance Review Report for  
All Applicants and Recipients Requesting EPA Financial Assistance**

**Note: Read instructions on other side before completing form.**

I. Applicant/Recipient (Name, Address, State, Zip Code). <b>City of Huntsville - Division of Natural Resources; P.O. Box 308, Huntsville, AL</b>	DUNS No. <b>072093727</b>
II. Is the applicant currently receiving EPA assistance? <b>Yes</b>	
III. List all civil rights lawsuits and administrative complaints pending against the applicant/recipient that allege discrimination based on race, color, national origin, sex, age, or disability. (Do not include employment complaints not covered by 40 C.F.R. Parts 5 and 7. See instructions on reverse side.) <b>See Attachment Prepared by City Attorney's Office</b>	
IV. List all civil rights lawsuits and administrative complaints decided against the applicant/recipient within the last year that allege discrimination based on race, color, national origin, sex, age, or disability and enclose a copy of all decisions. Please describe all corrective action taken. (Do not include employment complaints not covered by 40 C.F.R. Parts 5 and 7. See instructions on reverse side.) <b>See Attachment Prepared by City Attorney's Office</b>	
V. List all civil rights compliance reviews of the applicant/recipient conducted by any agency within the last two years and enclose a copy of the review and any decisions, orders, or agreements based on the review. Please describe any corrective action taken. (40 C.F.R. § 7.80(c)(3)) <b>See Attachment Prepared by City Attorney's Office</b>	
VI. Is the applicant requesting EPA assistance for new construction? If no, proceed to VII; if yes, answer (a) and/or (b) below. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
a. If the grant is for new construction, will all new facilities or alterations to existing facilities be designed and constructed to be readily accessible to and usable by persons with disabilities? If yes, proceed to VII; if no, proceed to VI(b). Yes <input type="checkbox"/> No <input type="checkbox"/> b. If the grant is for new construction and the new facilities or alterations to existing facilities will not be readily accessible to and usable by persons with disabilities, explain how a regulatory exception (40 C.F.R. § 7.70) applies. Yes <input type="checkbox"/> No <input type="checkbox"/>	
VII.* Does the applicant/recipient provide initial and continuing notice that it does not discriminate on the basis of race, color, national origin, sex, age, or disability in its programs or activities? (40 C.F.R. § 5.140 and § 7.95) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
a. Do the methods of notice accommodate those with impaired vision or hearing? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No b. Is the notice posted in a prominent place in the applicant's offices or facilities or, for education programs and activities, in appropriate periodicals and other written communications? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No c. Does the notice identify a designated civil rights coordinator? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
VIII.* Does the applicant/recipient maintain demographic data on the race, color, national origin, sex, age, or handicap of the population it serves? (40 C.F.R. § 7.85(a)) <b>Yes</b> <span style="float:right;">+</span>	
IX.* Does the applicant/recipient have a policy/procedure for providing access to services for persons with limited English proficiency? (40 C.F.R. Part 7, E.O. 13166) <b>No</b> <span style="float:right;">+</span>	
X.* If the applicant/recipient is an education program or activity, or has 15 or more employees, has it designated an employee to coordinate its compliance with 40 C.F.R. Parts 5 and 7? Provide the name, title, position, mailing address, e-mail address, fax number, and telephone number of the designated coordinator. <b>Reference attachment</b> <span style="float:right;">+</span>	
XI.* If the applicant/recipient is an education program or activity, or has 15 or more employees, has it adopted grievance procedures that assure the prompt and fair resolution of complaints that allege a violation of 40 C.F.R. Parts 5 and 7? Provide a legal citation or Internet address for, or a copy of, the procedures. <b>www.huntsvilleal.gov/ada/</b> <span style="float:right;">+</span>	
<b>For the Applicant/Recipient</b>	
I certify that the statements I have made on this form and all attachments thereto are true, accurate and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law. I assure that I will fully comply with all applicable civil rights statutes and EPA regulations.	
A. Signature of Authorized Official	B. Title of Authorized Official <b>Mayor, City of Huntsville</b>
C. Date <b>07/10/14</b>	
<b>For the U.S. Environmental Protection Agency</b>	
I have reviewed the information provided by the applicant/recipient and hereby certify that the applicant/recipient has submitted all preaward compliance information required by 40 C.F.R. Parts 5 and 7; that based on the information submitted, this application satisfies the preaward provisions of 40 C.F.R. Parts 5 and 7; and that the applicant has given assurance that it will fully comply with all applicable civil rights statutes and EPA regulations.	
A. Signature of Authorized EPA Official	B. Title of Authorized EPA Official
C. Date	
See ** note on reverse side	

# U.S. Environmental Protection Agency

## CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- (1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/ proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Tommy Battle – Mayor  
TYPED NAME & TITLE OF AUTHORIZED REPRESENTATIVE

\_\_\_\_\_  
SIGNATURE OF AUTHORIZED REPRESENTATIVE

July 10, 2014  
DATE

\_\_\_\_\_ I am unable to certify to the above statements. My explanation is attached.