

CITY COUNCIL AGENDA ITEM COVER MEMO

Agenda Item Number: \_\_\_\_\_

Meeting Type: Regular

Meeting Date: May 26, 2016

Action Requested By: Planning

Agenda Type: Resolution

Subject Matter:

Agreement for Preliminary Engineering Between the State of Alabama and City of Huntsville

Exact Wording for the Agenda:

Agreement for Preliminary Engineering Between the State of Alabama and City of Huntsville, Alabama regarding Project STPHV-4514 ( ); Project Reference Number 100062235; Huntsville Northern Bypass from 1,500 Feet East of SR-1 (US-231/431) Intersection to Winchester Road in the City of Huntsville

**Note: If amendment, Please state title and number of the original**

Item to be considered for: Action

Unanimous Consent Required: No

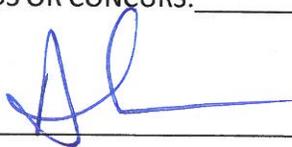
Briefly state why the action is required; why it is recommended; what council action will provide, allow and accomplish and; any other information that might be helpful.

This project will be administered by the City of Huntsville and all cost will be financed with 80 percent Federal funds and with 20 percent City funds. The city will be responsible for its proportional share of any cost overruns.

Associated Cost: \_\_\_\_\_

Budgeted Item: \_\_\_\_\_

MAYOR RECOMMENDS OR CONCURS: \_\_\_\_\_

Department Head:  \_\_\_\_\_

Date: 6/1/16

ROUTING SLIP  
CONTRACTS AND AGREEMENTS

Originating Department: Planning Council Meeting Date: 5/26/2016

Department Contact: Kimberly Gosa Phone # 427-5115

Contract or Agreement: Agreement

Document Name: Agreement for Preliminary Engineering/N Bypass Project

City Obligation Amount: \$30,000

Total Project Budget: \$150,000

Uncommitted Account Balance:

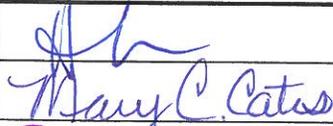
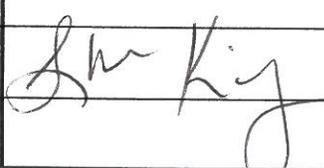
Account Number: 3020-71-0000-524000-PR8114XX

**Procurement Agreements**

|                  |                  |
|------------------|------------------|
| <u>Select...</u> | <u>Select...</u> |
|------------------|------------------|

**Grant-Funded Agreements**

|                  |                     |
|------------------|---------------------|
| <u>Select...</u> | Grant Name: <u></u> |
|------------------|---------------------|

| Department                                  | Signature                                                                           | Date      |
|---------------------------------------------|-------------------------------------------------------------------------------------|-----------|
| 1) Originating                              |  | 16 Mar 16 |
| 2) Legal                                    | Mary C. Cates                                                                       | 5/17/2016 |
| 3) Finance                                  | Rachel Biggs                                                                        | 5/18/16   |
| 4) Originating                              |                                                                                     |           |
| 5) Copy Distribution                        |                                                                                     |           |
| a. Mayor's office<br>(1 copies)             |  | 5/18/16   |
| b. Clerk-Treasurer<br>(Original & 2 copies) |                                                                                     |           |
|                                             |                                                                                     |           |

RESOLUTION NO. 16- \_\_\_\_\_

**BE IT RESOLVED** by the City Council of Huntsville, Alabama, that the Mayor be, and is hereby authorized to enter into an agreement with the State of Alabama, on behalf of the City of Huntsville, a municipal corporation in the State of Alabama, which said agreement is substantially in words and figures similar to that certain document attached hereto and identified as "Agreement for Preliminary Engineering Between the State of Alabama and the City of Huntsville; Project STPHV-4514(); Project Reference Number 100062235; Huntsville Northern Bypass from 1,500 Feet East of SR-1 (US-231/431) Intersection to Winchester Road in the City of Huntsville" consisting of (seven)7 pages plus two(2) additional pages consisting of Exhibit M and Exhibit N, and the date of May 26, 2016, appearing on the margin of the first page, together with the signature of the President or President Pro Tem of the City Council, an executed copy of said document being permanently kept on file in the Office of the City Clerk-Treasurer of the City of Huntsville, Alabama.

**ADOPTED** this the 26th day of May, 2016

\_\_\_\_\_  
President of the City Council of  
the City of Huntsville, Alabama

**APPROVED** this the 26th day of May, 2016

\_\_\_\_\_  
Mayor of the City of Huntsville,  
Alabama

R-16-0581

**AGREEMENT  
FOR  
PRELIMINARY ENGINEERING**

**BETWEEN THE STATE OF ALABAMA  
AND  
THE CITY OF HUNTSVILLE, ALABAMA**

**Project STPHV-4514 (  
Project Reference Number 100062235  
Huntsville Northern Bypass from 1,500 Feet East of  
SR-1 (US-231/431) Intersection to Winchester Road  
in the City of Huntsville**

This AGREEMENT is made and entered into by and between the State of Alabama, acting by and through the Alabama Department of Transportation, hereinafter referred to as STATE; and the City of Huntsville, Alabama, hereinafter referred to as CITY; in cooperation with the United States Department of Transportation, Federal Highway Administration, hereinafter referred to as the FHWA; and

WHEREAS, a Transportation Improvement Program has been developed for the Huntsville urbanized area and certain transportation improvements and priorities are listed therein; and

WHEREAS, it is in the public interest for the STATE and the CITY to cooperate toward the implementation of the Transportation Improvement Program; and

WHEREAS, the STATE and the CITY desire to cooperate in a preliminary design engineering program for the Huntsville Northern Bypass from 1,500 feet east of SR-1 (US-231/431) intersection to Winchester Road in the City of Huntsville.

NOW, THEREFORE, the parties hereto, for, and in consideration of the premises stated herein do hereby mutually promise, stipulate, and agree as follows:

- (1) The CITY will perform or have performed all services required to fulfill the purposes of this Agreement. The supervision of the preparation of plans will be under the North Region

Engineer of the Alabama Department of Transportation. Plans will be prepared by or for the CITY as a part of Project cost.

- (2) This Agreement will cover only the preliminary engineering phase of the work.
- (3) The preliminary engineering phase is hereby defined as that work necessary to advance the development of the Project through construction authorization by the FHWA. This phase will include all environmental studies and documentation required by FHWA.
- (4) Funding for this Agreement is subject to the availability of Federal Aid funds at the time of authorization by the FHWA.
- (5) This Project will be administered by the CITY and all cost will be financed, when eligible for Federal participation, on the basis of 80 percent Federal funds and 20 percent CITY funds. The estimated costs and participation by the various parties is as follows:

|                         | <u>Total<br/>Estimated<br/>Cost</u> | <u>Estimated<br/>Federal<br/>Funds</u> | <u>Estimated<br/>CITY<br/>Funds</u> |
|-------------------------|-------------------------------------|----------------------------------------|-------------------------------------|
| Preliminary Engineering | \$150,000                           | \$120,000                              | \$30,000                            |
| TOTAL                   | \$150,000                           | \$120,000                              | \$30,000                            |

It is understood that the above is an estimate only, and in the event the final cost exceeds the estimate, the CITY will be responsible for its proportional share.

- (6) Any cost for work not eligible for reimbursement will be financed by the CITY, which payment will be reflected in the final audit.
- (7) The CITY agrees that in the event the FHWA determines, due to rules and/or regulations of FHWA (including but not limited to delay of the Projects, or delay of Projects contemplated to be developed and accomplished in sequence to the current Projects) that Federal funds expended on this Project must be refunded to the FHWA, the CITY will reimburse and pay

to the STATE a sum of money equal to the total amount of STATE and Federal funds expended under this Agreement.

- (8) The performance of the work covered by this Agreement will be in accordance with the current regulations and requirements of the STATE and FHWA.
- (9) The STATE will assist the CITY in any public involvement actions that may be required.
- (10) The STATE will provide without cost to the CITY information available from its records that will facilitate the performance of work.
- (11) It is clearly understood by both parties that the STATE does not commit any STATE or Federal funds beyond those mentioned herein and that a separate Agreement will be required for the construction of the proposed improvements.
- (12) The terms of this Agreement may be modified by supplemental agreement duly executed by the parties hereto.
- (13) All services of the STATE necessary to carry out the intent of this Agreement will be paid as provided for herein.
- (14) The CITY will invoice the STATE for the Federal share of preliminary engineering cost not more frequently than monthly. Requests for reimbursements will be made on forms provided by the STATE and submitted through the North Region Engineer for payment.
- (15) Invoices for work performed by the CITY under the terms of this Agreement will be submitted within twelve (12) months after the completion of work. Any invoices submitted after this twelve-month period will not be eligible for payment.
- (16) A final audit will be made of all Project records after the completion of the Project and a copy will be furnished to the Department of Examiners of Public Accounts, in accordance with Act 1994, No. 94-414. A final financial settlement will be made between the parties as

reflected by the final audit and this Agreement.

- (17) Subject to the limitations on damages applicable to municipal corporations under Ala. Code § 11-47-190 (1975), the CITY shall indemnify, and hold harmless the State of Alabama, the Alabama Department of Transportation, its officers, officials, agents, servants, and limited to attorney's fees arising out of, connected with, resulting from or related to the work performed by the CITY, or its officers, employees, contracts, agents or assigns (2) the provision of any services or expenditure of funds required, authorized, or undertaken by the CITY pursuant to the terms of this Agreement, or (3) any damage, loss, expense, bodily injury, or death, or injury or destruction of tangible property (other than the work itself), including loss of use therefrom, and including but not limited to attorney's fees, caused by the negligent, careless or unskillful acts of the CITY, its agents, servants, representatives or employees, or the misuse, misappropriation, misapplication, or misexpenditure of any source of funding, compensation or reimbursement by the CITY, its agents, servants, representatives or employees, or anyone for whose acts the CITY may be liable.
- (18) By entering into this Agreement, the CITY is not an agent of the STATE, its officers, employees, agents or assigns. The CITY is an independent entity from the STATE and nothing in this Agreement creates an agency relationship between the parties.
- (19) By signing this contract, the contracting parties affirm, for the duration of the Agreement, that they will not violate Federal immigration law or knowingly employ, hire for employment, or continue to employ an unauthorized alien within the State of Alabama. Furthermore, a contracting party found to be in violation of this provision shall be deemed in breach of the Agreement and shall be responsible for all damages resulting therefrom.
- (20) This Agreement will remain in effect, unless otherwise terminated by either party upon the

delivery of a thirty (30) day notice of termination.

- (21) Nothing will be construed under the terms of this Agreement by the STATE or the CITY that will cause any conflict with Section 23-1-63, Code of Alabama (7/24th Law).
- (22) Exhibits M and N are attached and hereby made a part of this Agreement.

IN WITNESS WHEREOF, the parties hereto cause this Agreement to be executed by those officers, officials, and persons thereunto duly authorized, and the Agreement is deemed to be dated and to be effective on the date stated hereinafter as the date of the approval of the Governor of Alabama.

SEAL

ATTEST:

CITY OF HUNTSVILLE, ALABAMA

\_\_\_\_\_  
City Clerk (Signature)

BY: \_\_\_\_\_  
Mayor (Signature)

Kenneth Benion  
Type name of Clerk

Tommy Battle  
Type name of Mayor

APPROVED AS TO FORM:

BY: \_\_\_\_\_  
Chief Counsel, Jim R. Ippolito, Jr.

THIS AGREEMENT HAS BEEN LEGALLY REVIEWED  
AND APPROVED AS TO FORM AND CONTENT:

\_\_\_\_\_  
North Region Engineer, Johnny L. Harris, P.E./P.L.S.

\_\_\_\_\_  
Multimodal Transportation Engineer  
Robert J. Jilla

\_\_\_\_\_  
Chief Engineer, Don T. Arkle, P. E.

STATE OF ALABAMA  
ACTING BY AND THROUGH THE  
ALABAMA DEPARTMENT OF TRANSPORTATION

\_\_\_\_\_  
Transportation Director, John R. Cooper

The foregoing Agreement is hereby approved by the Governor of the State of Alabama  
this \_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

\_\_\_\_\_  
GOVERNOR OF ALABAMA, ROBERT BENTLEY

RESOLUTION NUMBER \_\_\_\_\_

BE IT RESOLVED, by the City Council of the City of Huntsville, Alabama, as follows:

1. That the City enters into an Agreement with the State of Alabama, acting by and through the Alabama Department of Transportation for:
2. Preliminary engineering program, Project STPHV-4514 ( ), Project Reference Number 100062235 for the Huntsville Northern Bypass from 1,500 feet east of SR-1 (US-231/431) intersection to Winchester Road in the City of Huntsville; which Agreement is before this Council.
3. That the Agreement be executed in the name of the City, by its Mayor, for and on its behalf.
4. That the Agreement be attested by the City Clerk and the seal of the City affixed thereto.

BE IT FURTHER RESOLVED, that upon the completion of the execution of the Agreement by all parties, that a copy of such Agreement be kept on file by the City Clerk.

Passed, adopted, and approved this \_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

ATTESTED:

\_\_\_\_\_  
City Clerk

\_\_\_\_\_  
President, Huntsville City Council

\_\_\_\_\_  
Mayor

I, the undersigned qualified and acting clerk of the City of Huntsville, Alabama, do hereby certify that the above and foregoing is a true copy of a resolution passed and adopted by the City Council of the City named therein, at a regular meeting of such Council held on the \_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_, and that such resolution is on file in the City Clerk's office.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of the City on this \_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

\_\_\_\_\_  
City Clerk

7/18/90

Exhibit M

## CERTIFICATION

This certification is applicable to the instrument to which it is attached whether attached directly or indirectly with other attachments to such instrument.

The prospective participant/recipient, by causing the signing of and the submission of this Federal contract, grant, loan, cooperative agreement, or other instrument as might be applicable under Section 1352, Title 31, U. S. Code, and the person signing same for and on behalf of the prospective participant/recipient each respectively certify that to the best of the knowledge and belief of the prospective participant or recipient and of the person signing for and on behalf of the prospective participant/recipient, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the prospective participant/recipient or the person signing on behalf of the prospective participant/recipient as mentioned above, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, or other instrument as might be applicable under Section 1352, Title 31, U. S. Code, the prospective/recipient shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U. S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant/recipient also agrees by submitting this Federal contract, grant, loan, cooperative agreement or other instrument as might be applicable under Section 1352, Title 31, U. S. Code, that the prospective participant/recipient shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

07/01/2002

## EXHIBIT N

### FUNDS SHALL NOT BE CONSTITUTED AS A DEBT

It is agreed that the term sand commitments contained herein shall not be constituted as a debt of the State of Alabama in violation of Article 11, Section 213 of the Constitution of Alabama, 1901, as amended by Amendment Number 26. It is further agreed that if any provision of this agreement shall contravene any statute or Constitutional provision of amendment, either now in effect or which may, during the course of this agreement, be enacted, then the conflicting provision in the agreement shall be deemed null and void.

### TERMINATION DUE TO INSUFFICIENT FUNDS

If the agreement term is to exceed more than one fiscal year, then said agreement is subject to termination in the event that funds should not be appropriated for the continued payment of the agreement in subsequent fiscal years.

In the event of proration of the fund from which payment under this agreement is to be made, agreement will be subject to termination.

### ADR CLAUSE

For any and all disputes arising under the terms of this contract, the parties hereto agree, in compliance with the recommendations of the Governor and Attorney General, when considering settlement of such disputes, to utilize appropriate forms of non-binding alternative dispute resolution including, but not limited to, mediation where appropriate by private mediators.